Pollutant Emissions and Energy Efficiency under Controlled Conditions for Household Biomass Cookstoves and Implications for Metrics Useful in Setting International Test Standards

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Supporting Information

ABSTRACT: Realistic metrics and methods for testing household biomass cookstoves are required to develop standards needed by international policy makers, donors, and investors. Application of consistent test practices allows emissions and energy efficiency performance to be benchmarked and enables meaningful comparisons among traditional and advanced stove types. In this study, 22 cookstoves burning six fuel types (wood, charcoal, pellets, corn cobs, rice hulls, and plant oil) at two fuel moisture levels were examined under laboratory-controlled operating conditions as outlined in the Water Boiling Test (WBT) protocol, Version 4. Pollutant emissions (carbon dioxide, carbon monoxide, methane, total hydrocarbons, and ultrafine particles) were continuously monitored. Fine particle mass was measured gravimetrically for each WBT phase. Additional measurements included cookstove power, energy efficiency, and fuel use. Emission factors are given on the basis of fuel energy, cooking energy, fuel mass, time, and cooking task or activity. The lowest PM$_{2.5}$ emissions were 74 mg MJ$_{\text{delivered}}^{-1}$ from a technologically advanced cookstove compared with 700−1400 mg MJ$_{\text{delivered}}^{-1}$ from the base-case open 3-stone cookfire. The highest thermal efficiency was 53% compared with 14−15% for the 3-stone cookfire. Based on these laboratory-controlled test results and observations, recommendations for developing potentially useful metrics for setting international standards are suggested.

INTRODUCTION

Solid fuel combustion emissions from household cooking and heating are a leading risk factor for disease in the developing world, accounting for approximately 4% of all lost healthy life years and some 2 million premature deaths in low- and middle-income countries. Demand for fuelwood resources for household energy impacts terrestrial ecology and land-use patterns in a number of regions within the developing world, and fuel gathering typically requires many hours per week for poor populations. Potential climate effects are of concern due to the greenhouse gas and carbonaceous aerosol emissions from household combustion of biomass fuel. Evidence suggests that widespread deployment of cookstoves with energy and combustion efficiency improvements over traditional technology could potentially help mitigate adverse human health, energy, and climate consequences. Studies of stoves with chimneys show some success in reducing exposures and health impacts but also indicate that additional reduction in emissions could potentially achieve even greater benefits. However, wide-scale adoption of cookstoves built with improved combustion technologies and low emissions faces substantial challenges, including the lack of widely available and accepted cookstove emissions and energy efficiency standards and testing protocols. Such standards are required for (i) informing governments, donors, and investors interested in promoting and supporting only high-quality stoves, (ii) improving comparisons among fuels and stoves operating under pertinent task-, energy efficiency-, and combustion-related test variables, and (iii) developing certification procedures, performance benchmarks, and meaningful test infrastructure for the global cookstove market. Standards can provide incentive for stove developers to innovate and improve performance. Standards are not developed in this study but may be developed through a

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standards organization. Metrics are suggested for possible use in standards, as discussed below.

A variety of protocols and metrics are used to evaluate cookstoves and quantify their combustion emissions. Many studies utilize the “hood method” to capture, dilute, and measure air pollutant emissions from cookstoves, while some measure the emissions directly from the flue if there is a chimney or from a chamber after redirecting the emissions. These studies certainly provide a valuable body of knowledge, but the numerous test procedures have led to inconsistencies in reporting, making the sparse published data difficult to compare from one laboratory to the next. Moreover, the combustion and fuel conditions, chemical and physical analysis techniques, reporting metrics, and pollutant types measured in these studies vary substantially. This general lack of consistency has hampered the development of sound policies regarding cookstove use and dissemination. Further complicating the picture is that the emissions from cookstove testing under field and laboratory conditions can differ.

The development of international cookstove standards will require some degree of laboratory control to rapidly and accurately assess energy- and task-specific emissions performance as cookstove design and technology improve. Many earlier emissions-characterization efforts successfully applied controlled laboratory conditions to examine cookstoves from Asia, South Africa, and Guatemala, where populations rely heavily on their use. More recent controlled laboratory experiments were conducted to investigate the effects of fuel species, fuel combinations, and fuel moisture content on stove emissions and energy efficiency. Multiple stove design technologies were examined in these experiments, and test results for 50 stoves were compiled for the purpose of defining composite performance benchmarks, but a different approach is recommended here, as described below.

The present study provides a more extensive analysis of emissions and fuel use from a wider range of newer cookstove technologies than past studies. 22 cookstoves burning six fuels (cookstove dependent) at two moisture content levels are examined under laboratory-controlled operating conditions. Pollutant emissions are sampled using established hood and dilution methods. Real-time measurements of carbon dioxide (CO₂), carbon monoxide (CO), methane (CH₄), total hydrocarbon (THC), fine particulate matter, and ultrafine particle (UFP) emissions are provided. In the interest of developing a novel and versatile emissions database for cookstoves, emissions rates and factors are calculated on the basis of cooking energy delivered, cooking task, fuel energy, and fuel mass. Cooking power, energy efficiency, and fuel use are also calculated. Using these laboratory-controlled test results and observations as a basis, useful metrics for developing and setting international standards for cookstove emissions and energy efficiency are discussed.

**MATERIALS AND METHODS**

**Cookstove Systems.** For this study, a cookstove system was defined by the cookstove type (including chimney, if so equipped), fuel (composition, moisture content, and size), cooking pot, pot skirt (device for improving heat transfer) if available from the stove manufacturer, and operating procedure. The twenty-two cookstove types, six fuels, moisture contents (low and high), cooking pot water volumes, and combustion chamber materials (metal or ceramic) are listed in Table 1. A total of 44 system combinations were tested for the present study (see the Supporting Information (SI) for more details).

<table>
<thead>
<tr>
<th>Fuel</th>
<th>Tested with Low-</th>
<th>Cookstove</th>
<th>Combustion chamber material</th>
<th>Chimney</th>
<th>Pot skirt</th>
<th>Forced draft</th>
<th>Batch fuel</th>
<th>Rocket-type</th>
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Table 1. Fuels and Cookstoves Tested
was used instead. Two cookstoves were equipped with chimneys (~2 m height). Natural-draft (also termed natural convection) stoves dominated the cookstove matrix; four forced-draft (fan-provided air) stoves were also tested. Twelve stoves with batch fuel loading (e.g., charcoal stoves) required less time for tending than others that required manual fuel feeding. Four stoves were variations of the "rocket" stove design, and eight were variations of the "gasifier" design. The only traditional cooking system tested was a 3-stone cookfire. This particular system is treated as the base-case in this study because it is traditionally the most widely used. For the "carefully tended" 3-stone cookfire, fuelwood sticks were arranged in a radial pattern with the fire at the center, and sticks were continually fed into the center so that the ends of the sticks consistently burned. In the "minimally tended" mode, fuel wood was loaded in batches approximately every 10 min, and the fire was untended between loadings. Apart from the 3-stone cookfire, each cookstove was operated in one mode generally following manufacturers’ instructions. Table 1 provides identifiers that refer to cookstove photos and descriptions in the SI.

Fuels. Fuels were selected based on the stove type and typical field conditions. Fuel types included wood, charcoal, pellets, corn cobs, rice hulls, and plant oil (Table 1). For wood-fired stoves, red oak (Quercus rubra) sticks of ~10% and ~30% moisture (wet basis) were used. Wood fuels sometimes required light processing including cutting or chopping. Fuel variables are described in the SI. Fuel moisture content was measured using ASTM Standard Method D4442-07. Fuel heat of combustion was measured using ASTM Standard Method D5865-10. Per WBT specification, the lower heating value of each fuel was used (see the SI).

Test Protocol. The WBT protocol (Version 4)37 was used to determine cookstove power, energy efficiency, and fuel use. Pollutant emissions were simultaneously measured and reported for each of the three WBT test phases: (1) high-power, cold-start; (2) high-power, hot-start; and (3) low-power, simmer. Phases (1) and (2) were defined by the duration between fire ignition and the water boiling point. Phase (1) began with the cookstove, pot, and water at ambient temperature. Phase (2) immediately followed with the cookstove hot but the pot and water at ambient temperature. Phase (3) was defined by a 30-min time period with the nominal water temperature maintained at 3 °C below the boiling point. A modified procedure was used for charcoal stoves36 (see the SI). Two wood-fueled rocket stoves (Envirot G-3300 and StoveTec GreenFire) were tested at an additional medium-power level. The power level was controlled by simply changing the fuel feed rate. The WBT protocol specifies that the cooking pot be uncovered during testing. Results are reported as averages with standard deviations for the tests performed in triplicate (or more).

Cookstove Emissions Testing Facility. A schematic diagram of the emissions testing system and a thorough description of the facility are provided in the SI. Briefly, stovestove emissions were collected into a stainless steel hood connected to a dilution tunnel (~47 m² min⁻¹), from which pollutant emissions were sampled. An induced-draft blower maintained negative pressure in the entire system and provided filtered dilution air and hood air flows. A second stage of dilution (~1:10) was provided by a modified dilution sampling system, which was required for the scanning mobility particle sizer (SMPS) and certain optical and carbonaceous aerosol measurements to be reported in a subsequent publication.
Cooking Power and Time-to-Boil Relationship. Figure 1 shows how average cooking power correlates to the time-to-boil for a given pot and volume of water (2 L and 5 L) and includes data for the cold- and hot-start phases. Cooking power is measured in watts (W) and is defined as the useful cooking energy delivered per unit time, whereas time-to-boil as defined by the WBT is the elapsed time required to boil a specific volume of water. Figure 1 shows that the time-to-boil is a power function of cooking energy and illustrates why the cookstove pot and water volume tested must be appropriate for the stove cooking power. For example, if the pot and volume of water are too large, then the time-to-boil is too long and inconsistent between test replications due to phase change and evaporation. Appropriate use of stove cooking power also produces more consistent task-based results for other WBT parameters such as specific fuel consumption and emissions. Additional test results for fire power (energy released by the fuel per time), cooking power, and time-to-boil are reported in the SI.

Stove Efficiencies. Modified combustion efficiency (MCE, defined as CO₂/(CO₂ + CO) on a molar basis) is considered a reasonable proxy for true combustion efficiency (ratio of energy released by combustion to energy in the fuel). MCE is equivalent to nominal combustion efficiency (NCE), as used in some literature. Heat transfer efficiency (HTE) refers to the fraction of the heat released by combustion that is used in cooking. Overall thermal efficiency (OTE), the product of MCE and HTE, is the ratio of cooking energy delivered to fuel energy and is an indicator of stove energy efficiency (see the SI). Figure 2 compares MCE and OTE for the high-power (cold-start) phase of the WBT considering low-moisture fuel; the most efficient stoves are in the upper right corner. Figures 2–5 report test replication error as ± one standard deviation (SD) as specified by the WBT. Cookstoves and their performance are often classified using fuel type, combustion chamber type, chimney use, heat transfer devices (e.g., pot skirt), draft type (forced or natural convection), fuel feeding or loading method, design, or other characteristics (Table 1). Figures 2–5 present testing results for the individual cookstove systems using the following classification scheme: 3-stone fire, charcoal, forced-draft, natural-draft, and liquid-fuel. Although instructive for comparing results, stove classifications can be problematic for standards development (e.g., different benchmarks for stoves with and without chimneys have been proposed but not widely adopted). Classification schemes are not ideal due to poorly represented and defined stove variations within classes and the inability of novel cookstove technologies to properly fit into what was previously defined. Thus, appropriate standards are best specified based on absolute performance metrics as discussed below.

Cookstoves that achieve both high MCE and OTE show less fuel use and decreased pollutant emission factors. Baseline 3-stone fires (both minimally and carefully tended cases) had approximately 96–97% MCE and approximately 14–15% OTE. Several cookstoves (Sampada, Mayon, StoveTec, Berkeley, Envirofit) with MCE similar to the 3-stone fire show at least 2-fold greater OTE. Since these cookstoves consume less fuel, they generally produce lower emissions per given cooking task (as discussed below) but have little or no reductions in emissions per unit fuel. Charcoal stoves show generally low but highly varied MCE due to high CO emissions and nonuniform lump charcoal fuel structure, respectively. Between test replications, airflow differences through the combustion chamber are likely caused by the nonuniform charcoal fuel structure. Not all forced-draft stoves exhibit the high MCE they are typically noted for. Forced-draft stoves with fans require electrical energy from household power, rechargeable batteries, or thermoelectric systems (no thermoelectric stove was tested for this study). A natural-draft cookstove with a top-lit up-draft (TLUD) design (see Roth34) had the highest MCE and OTE but requires processed, low-moisture, pellet fuel. Assuming unequal variance, the Student’s t-test (all p-values subsequently reported are based on this statistical test) indicated a significant difference (p ≤ 0.024) between the OTE of the TLUD stove and that of every other stove. Compared with the open-fire base-case, advanced cookstoves (Oorja, Protos, Philips fan, StoveTec TLUD) show improvements in combustion efficiency as indicated by MCE. Relatively minor improvements in combustion efficiency can result in large emissions reductions assuming OTE is maintained. The natural-draft chimney stove (Onil) shows a high MCE but relatively low OTE due to the large thermal mass steel griddle top (termed “plancha” in Latin America). These stoves are used for boiling water but are also used for preparing a variety of foods (e.g., tortillas) and for warmth (space heating). It is noteworthy that the WBT does not apply when stoves are used for purposes other than boiling water.
Additional WBT phase-based data for MCE, OTE, and fuel use are provided in the SI.

Emissions of CO and PM$_{2.5}$. Figure 3 shows the CO and PM$_{2.5}$ emissions per unit cooking energy delivered for low-moisture fuel during the WBT high-power (cold-start) phase. The low-emissions stoves reside in the bottom left corner of the figure. The majority of cookstoves emit less CO and PM$_{2.5}$ per unit energy delivered than the 3-stone fire base-case. Two forced-draft stoves (Philips fan, Oorja) and the TLUD-type stove had notably low emissions. A significant difference ($p \leq 0.018$) was observed for the TLUD stove CO emissions compared with every other stove. Charcoal stoves emit high CO levels during all three WBT phases and high PM emissions during the cold-start phase due to the charcoal ignition process. After ignition, charcoal stoves can produce high levels of hazardous, odorless CO with much less warning in the form of irritating smoke compared to wood stoves and thus should be tested and used in well ventilated areas only. An intermittent problem with the liquid-fuel stove burner caused high PM emissions variability and possibly higher-than-expected PM emissions.

Compared with the high-power level, the two rocket stoves operated at medium power show higher MCE and OTE (Figure 2) and lower CO and PM$_{2.5}$ mass emissions when normalized to cooking energy delivered (Figure 3). The difference in MCE for the two power levels was significant ($p = 0.0005$) for the StoveTec stove. These rocket stoves can thus achieve lower emissions for a given cooking task at less than maximum power. This comparison demonstrates the value of evaluating cookstoves at an additional power level for developing international standards. U.S. Environmental Protection Agency (USEPA) certification testing requires four power levels for residential, wood-fueled heating stoves. An additional benefit of stove testing at multiple power levels is the ability to better correlate laboratory results with field results.

Figure 4 shows the CO and PM$_{2.5}$ emissions per liter of water simmered per hour for low-moisture fuel during the low-power phase of the Water Boiling Test. The majority of cookstoves emit less CO and PM$_{2.5}$ per unit volume of water per time than the 3-stone fire base-case. A forced-draft stove (Philips fan) had notably low emissions. Charcoal stoves emit lower PM levels during the WBT low-power phase (Figure 4) than during the high-power cold-start phase (Figure 3). Again, an intermittent problem with the liquid-fuel stove burner caused high PM emissions variability and possibly higher-than-expected PM emissions.

UFP Emissions. Figure 5 shows the UFP number and PM$_{2.5}$ mass emissions per cooking energy delivered for low-moisture fuel and the high-power (cold-start) phase. UFPs are of interest because they can penetrate deep into the airways of the human respiratory tract to the alveoli, where they may cause adverse biological effects.44 Presently, there are no USEPA standards or WHO guidelines related to UFPs, although European Union vehicle emissions legislation does consider UFPs. The majority of cookstoves tested show lower UFP emissions compared with the 3-stone fire. Intermittent malfunction of a fan speed controller likely produced highly variable UFP emissions for the Oorja stove. A natural-draft TLUD stove showed the lowest mean UFP and PM$_{2.5}$ mass emissions. The UFP emissions of the TLUD stove were significantly lower ($p = 0.0007$) than those of the forced-draft Philips fan stove. Forced-draft stoves emit relatively less PM$_{2.5}$ mass but show an increase in UFP numbers; in this case, gas phase nucleation may be occurring in an environment where fewer accumulation mode particles produce less surface area for condensation and growth.46
The extensive testing and metrics analysis performed as part of this study allows for further insight critical to advancing the development of realistic international cookstove testing and emissions standards. This study considers multiple cookstove performance metrics (see the SI) and recommends potential metrics for future cookstove standards. Pollutant emissions per cooking energy delivered\(^1\) (in units of g MJ\(^{-1}\)) and OTE are recommended measures for the high-power WBT phases because they are based on the fundamental desired output—cooking energy—that enables valid comparisons between all stoves and fuels.\(^9\) For high-power WBT phases, the cooking energy delivered is determined by (i) the sensible heat that raises the pot water temperature and (ii) the latent heat that produces steam. A relatively small quantity of energy is unaccounted for (as loss from the pot), but OTE is measured accurately. This is not so for the low-power WBT phase, despite the previous use of the OTE metric, because (i) relatively constant water temperatures result in limited or no measured sensible heat, and (ii) highly variable steam production produces variation in measured latent heat. Furthermore, the unaccounted energy can be substantial relative to the latent heat. Thus, alternative metrics are recommended for the low-power phase due to the lack of a method for measuring energy delivered during low-power.

Development of a practical method for accurately measuring cooking energy delivered during the low-power phase would enable the use of the same metrics for all WBT phases. Until such a method is developed, specific energy consumption (SEC, in units of MJ L\(^{-1}\)) and specific emission rate (SER, in units of g L\(^{-1}\) h\(^{-1}\)) are recommended as standard measures for the WBT low-power phase. SEC is energy utilization and SER is emissions per liter of water maintained at the WBT-specified temperature per unit time. The importance of documenting cooking power for the purpose of meeting end-user needs is noted. Time-to-boil may also be reported if the pot type and water volume are specified, but time-to-boil can be a misleading indicator due to the nonlinear correlation with cooking power, as illustrated in Figure 1 and discussed above.

Baldwin\(^47\) proposed “cooking process efficiency” as an ultimate metric that may include, for example, the use of a pressure cooker to improve process efficiency. Cooking process efficiency also includes “control efficiency” which indicates the ability to provide “…only as much heat as needed to cook the food…”\(^47\) The WBT protocol specifies a “turn-down ratio” metric—the ratio of high-power to low-power—indicating the extent to which a stove can be controlled. However, this WBT standard measure is limited in that it does not account for the wide range of power offered by some stoves, nor does it indicate a stove’s ability to respond rapidly to power-level adjustments—issues that a revised WBT may consider.

This study attempted to test realistic cookstove systems to improve relevance to field conditions, as discussed above, but further investigation is needed. Laboratory testing provides a cost-effective means of evaluating cookstoves while controlling variables that are difficult or impossible to control in the field. Despite its advantages, controlled laboratory testing cannot fully duplicate field testing but should emulate field conditions to the greatest extent possible.\(^28\) Expanded field research is needed to provide critical information on actual use conditions that cannot be duplicated from controlled tests. Thus, there is a need for future emphasis on coordination between controlled and field testing. Development of test protocols is needed for stoves performing tasks substantially different from boiling water, such as the griddle stoves discussed above.

Despite a lack of correlation with emissions of some other pollutants that also affect health\(^48\) and climate,\(^40\) CO and PM emission measurements continue to be widely acquired for cookstoves due to their relative simplicity and availability. CO and PM measurements are likely to be required as part of any standardization process. The USEPA uses gravimetric PM measurement for its National Ambient Air Quality Standards and for its certification programs, which include measuring emissions from residential, wood-fueled heating stoves sold in the U.S.\(^43\) The gravimetric method is generally considered more accurate and reliable\(^49\) compared with relatively low-cost optical methods typically used in the developing world for PM measurement. Nevertheless, light-scattering instruments can be useful for obtaining real-time emissions data needed for improving cookstove designs and for better understanding human exposures to air pollutants. A relatively low-cost gravimetric measurement method for PM is needed to enable widespread testing capacity to evaluate stoves against international standards. Emissions of other important organic and inorganic pollutants also require characterization using newly developed, low-cost, accurate, and rapid analytical methods.

Cookstoves with chimneys can produce fugitive emissions from the stove body into the indoor environment. Despite the difficulty of mimicking fugitive emissions in laboratories, future evaluations of chimney stoves should consider both indoor fugitive and total emissions consistent with the present study. Indoor emissions have a greater effect on household air quality and human health, while total emissions have a greater effect on outdoor air quality and climate. Oddly, more performance data are currently available for newer biomass stoves than for the traditional and modern stoves dominating usage worldwide. To better align expectation levels with realized stove improvements, future performance evaluations must include “best-case” liquid- and gas-fueled stoves\(^50\) as well as more types of “worst-case” traditional solid-fuel stoves, based on the same absolute performance metrics.

**Outlook.** The WBT protocol is currently being revised, and work is needed to finalize the revision.\(^37\) With the 2010 launch of the Global Alliance for Clean Cookstoves (GACC), “a major global cookstove renaissance”\(^51\) offers the opportunity to build on the foundational work of the Partnership for Clean Indoor Air (PCIA) and partner organizations around the world (see background in the SI). The GACC Standards and Testing Working Group recognized the need to build on prior work to improve controlled and field evaluation of household cookstoves and the need to build capacity in the developing world for evaluating and improving cookstoves.\(^52\) Stakeholders present at the 2011 PCIA Forum in Lima, Peru, including some members of the Standards and Testing Working Group, drafted the “Lima Consensus,”\(^53\) an agreement to establish an interim rating system for the evaluation of cookstove models “that reflects the varying tiers of performance in the areas of fuel efficiency, indoor air quality, PM\(_2.5\) and CO emissions, and safety.” A rating system was proposed to be stove- and fuel-neutral, simply rating stove/fuels by a number of criteria that will better communicate the performance of existing stove models and drive innovation to improve stove performance. Building on the Lima Consensus, an International Organization for Standardization (ISO) International Workshop Agreement (IWA) was finalized and unanimously affirmed by more than...
80 stakeholders present at The Hague, Netherlands, on February 28–29, 2012. Recommendations from this work were adopted in the IWA entitled Guidelines for Evaluating Cookstove Performance. This agreement is an important step toward developing the methods and metrics to be used in international cookstove standards. Results from this study are mapped to tiers defined in the IWA (see the SI). Emissions standards will also depend on assessing what is needed to protect health. This is currently being addressed under the World Health Organization’s Air Quality Guidelines program. Other important cookstove characteristics that were not evaluated in this study include safety, durability, cost, controllability, and user acceptability. Evaluation methods and metrics for these other important characteristics need to be further developed.

**ASSOCIATED CONTENT**

1. Supporting Information
   Additional information on the cookstoves, fuels, pots, facility, and test protocol used in this study; supplemental technical discussion; database for cookstove systems tested, including results for fuel moisture, fuel energy, cookstove power, WBT time-to-boil, efficiency, fuel use, and emissions of CO, PM_{2.5}, CO\textsubscript{2}, THC, CH\textsubscript{4}, and UFPs. This information is available free of charge via the Internet at http://pubs.acs.org/.

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Notes
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